



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Bennett)	Art Unit: 2641
)	
Serial No.: 10/653,039)	
)	Examiner: Unassigned
Filed: 08/29/03 as continuation-in-part of)	-
09/439,145 filed 11/12/1999, now U.S. Patent)	
6,633,846)	
)	
For: Query engine for processing voice based)	
queries including semantic decoding)	
)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- 1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.
- 2. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed before the mailing date of a first Office action on the merits.



Attorney Docket No.: PHO 99004CIP

Respectfully submitted,

J. Nicholas Gross

Registration No. 34,175

Attorney for Applicant(s)

February 23, 2004 726 Duboce Ave. San Francisco, CA 94117 Tel. (415) 355-9800 Fax (415) 355-9006

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, this 23rd day February 2004.

PTO/SB/08B (08-03)

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Substitle for form 1449/PTO	Application Number	10/653,039
INFORMATION DISCLOSURE	Filing Date	08/29/2003
STATEMENT BY APPLICANT	First Named Inventor	Bennett
(Use as many sheets as necessary)	Art Unit	2641
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Sheet 1 of 3	Attorney Docket Number	PHO 99004CIP

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INF	ORMATION	I DIS	CLOSURE	Filing Date	08/29/2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	Bennett		
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Sheet	2	of	3	Attorney Docket Number	PHO 99004CIP	$\overline{\mathcal{I}}$

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Cubsulu	10 101 101111 1443/1 10			Application Number	10/653,039
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STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Bennett
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		SCHANK, R., LEBOWITZ, M., & BIRNBAUM, L., "An integrated understander," American Journal of Computational Linguistics, 6(1), 1980, pp. 13-30.	
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Examiner	<u> </u>	Date	

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